



CHAINS AND UNIONS OF PRIME SUBMODULES

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Original Article:

Received 30 April. 2017 Accepted 30 July. 2017 Published 08 Sep. 2017

ABSTRACT

Abstract. Let R be a commutative ring with identity and let M be a unital R -module. In this paper we study the various properties of prime submodules. Also we give a new equivalent conditions for a minimal prime submodules of an R -module to be a finite set, whenever R is a Noetherian ring. Finally we prove the Prime avoidance Theorem for modules in different states.

Keyword:

CHAINS
UNIONS
PRIME SUBMODULES

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Peer review under responsibility of **UCT Journal of Research in Science, Engineering and Technology**

1. Introduction

Throughout this paper, let R be a commutative ring (with identity) and M be a unital R -module. A proper submodule N of M with $N :_R M = \mathfrak{p}$ is said to be prime or \mathfrak{p} -prime (\mathfrak{p} a prime ideal of R) if $rx \in N$ for $r \in R$ and $x \in M$ implies that either $x \in N$ or $r \in \mathfrak{p}$. Another equivalent notion of prime submodules was first introduced and systematically studied in [5]. Prime submodules have been studied by several authors; see, for example, [3], [1], [6], [8], [9], [10], [11] and [13]. In section 2 we study the chain of prime submodules and we shall improve the results given in [10]. The Prime avoidance Theorem states that if an ideal I of a ring is contained in the union of finite number of prime ideals, then I must be contained in one of them. This result's generalization for the non-commutative case has been proved in [7]. In section 2, we generalize this theorem for modules in different states. In section 4 we prove some new results about the finiteness of the set of minimal prime submodules of an R -module. Also we introduce the concept of arithmetic rank of a submodule of a Noetherian module and we give an upper bound for it. Throughout, for any ideal b of R , the radical of b , denoted by $\text{Rad}(b)$, is defined to be the set $\{x \in R : x^n \in b \text{ for some } n \in \mathbb{N}\}$ and we denote $\{\mathfrak{p} \in \text{Spec}(R) : \mathfrak{p} \supseteq b\}$ by $V(b)$, where $\text{Spec}(R)$ denotes the set of all prime ideals of R . The symbol \subseteq denotes containment and \subset denotes proper containment for sets. If N is a submodule of M , we write $N \leq M$. We denote the annihilator of a factor module M/N of M by $(N :_R M)$. The set of all maximal ideals of R is denoted by $\text{Max}(R)$. For any ideal I of a ring R and for any R -module M , $\Gamma_I(M)$ is defined to be the submodule of M consisting of all elements annihilated by some power of I , i.e., $\bigcup_{n=1}^{\infty} (0 :_M I^n)$. For any unexplained notation and terminology we refer the reader to [4], [12] and [15].

2. Chains of prime submodules

The results of this section are generalizations of the some results given in [10] and [3]. First we need the following definition.

Definition 2.1. Let R be a Noetherian ring and M be a finitely generated R -module. For each $\mathfrak{p} \in \text{Spec}(R)$ we define $\lambda_{\mathfrak{p}}(M)$ as following:

$$\lambda_{\mathfrak{p}}(M) = \dim_{R_{\mathfrak{p}}/pR_{\mathfrak{p}}}(M_{\mathfrak{p}}/pM_{\mathfrak{p}}).$$

Remark 2.2. Let R be a Noetherian ring and M be a finitely generated R -module. For each $\mathfrak{p} \in \text{Spec}(R)$, $\lambda_{\mathfrak{p}}(M)$ is the number of elements of any minimal generator set of the $R_{\mathfrak{p}}$ -module $M_{\mathfrak{p}}$ and so $\lambda_{\mathfrak{p}}(M) < \infty$. Also we have $\lambda_{\mathfrak{p}}(M) = 0$ if and only if $\mathfrak{p} \in \text{Supp}(M)$. Moreover, for any pair $\mathfrak{q} \subseteq \mathfrak{p}$ of prime ideals of R it is easy to see that $\lambda_{\mathfrak{q}}(M) \leq \lambda_{\mathfrak{p}}(M)$.

The following description of prime submodules will be useful in this paper.

Lemma 2.3. Let R be a Noetherian ring and $\mathfrak{p} \in \text{Spec}(R)$. Let M be a finitely generated R -module and N be a proper submodule of M . Then the followings are equivalent:

- N is \mathfrak{p} -prime submodule of M .
- $\text{Ass}_R(M/N) = \{\mathfrak{p}\}$ and $(N :_R M) = \mathfrak{p}$.

(iii) $(N :_R x) = \mathfrak{p}$, for each $x \in M/N$.

Proof. Easily follows from definition.

The following theorem is the first main result of this paper and a generalization of [10, Lemma 2.6].

Theorem 2.4. Let R be a Noetherian ring and $\mathfrak{p} \in \text{Supp}(M)$. Let M be a finitely generated R -module. Then the following statements hold:

- The length of any chain of \mathfrak{p} -prime submodules of M is bounded from above by $\lambda_{\mathfrak{p}}(M) - 1$.
- There is a chain of \mathfrak{p} -prime submodules of M , which is of length $\lambda_{\mathfrak{p}}(M) - 1$.
- Any saturated maximal chain of \mathfrak{p} -prime submodules of M is of length $\lambda_{\mathfrak{p}}(M) - 1$.

Proof. (i) Let $n := \lambda_{\mathfrak{p}}(M)$. Then it follows from the hypothesis $\mathfrak{p} \in \text{Supp}(M)$ that $n > 0$. Suppose the contrary be true. Then there exist a chain of \mathfrak{p} -prime submodules of M as;

$$N_0 \subset N_1 \subset \dots \subset N_n$$

By Lemma 2.3 we have $\mathfrak{p} \in \text{Supp}(M/N_n)$ and so $\lambda_{\mathfrak{p}}((M/N_n)_{\mathfrak{p}}) \geq 1$. On the other hand since by assumption we have $(N_0 :_R M) = \mathfrak{p}$, it follows that there is an exact sequence

$$M/pM \rightarrow M/N_0 \rightarrow 0.$$

Hence we have the following exact sequence:

$$(M/pM)_{\mathfrak{p}} \rightarrow (M/N_0)_{\mathfrak{p}} \rightarrow 0.$$

Therefore, it follows from definition that

$$\lambda_{\mathfrak{p}}((M/N_0)_{\mathfrak{p}}) = \dim_{R_{\mathfrak{p}}/pR_{\mathfrak{p}}}((M/N_0)_{\mathfrak{p}}) \leq \lambda_{\mathfrak{p}}(M) = n.$$

On the other hand for each $0 \leq i \leq n-1$ there is an exact sequence

$$0 \rightarrow N_{i+1}/N_i \rightarrow M/N_i.$$

But, since $N_{i+1}/N_i \neq 0$, it follows from Lemma 2.3 and above exact sequence that

$$0 \neq \text{Ass}_R(N_{i+1}/N_i) \subseteq \text{Ass}_R(M/N_i) = \{\mathfrak{p}\},$$

Which implies that $\text{Ass}_R(N_{i+1}/N_i) = \{\mathfrak{p}\}$. In particular $\mathfrak{p} \in \text{Supp}(N_{i+1}/N_i)$, and so $(N_{i+1}/N_i)_{\mathfrak{p}} \neq 0$. Consequently

$$\lambda_{\mathfrak{p}}((N_{i+1}/N_i)_{\mathfrak{p}}) \geq 1. \text{ Whence, we have}$$

$$n = \sum_{i=0}^{n-1} 1 \leq \sum_{i=0}^{n-1} \lambda_{\mathfrak{p}}((N_{i+1}/N_i)_{\mathfrak{p}}) = \lambda_{\mathfrak{p}}((N_n/N_0)_{\mathfrak{p}}) \leq \lambda_{\mathfrak{p}}((M/N_0)_{\mathfrak{p}}) - 1 \leq n-1$$

,Which is a contradiction.

(ii) Let $\lambda_{\mathfrak{p}}(M) = n$. Then $n > 0$. As $\mathfrak{p} \in \text{Supp}(M)$ it follows that $(pM :_R M) = \mathfrak{p}$. Therefore, $\mathfrak{p} \in \text{Ass}_R(M/pM)$. Let $N_0 = pM$, whenever $\text{Ass}_R(M/pM) = \{\mathfrak{p}\}$. In other case suppose

$$\text{Ass}_R(M/pM) \setminus \{\mathfrak{p}\} := \{q_1, \dots, q_k\}.$$

Let $I = \bigcap_{j=1}^k q_j$ and $N_0/pM := \Gamma_I(M/pM)$. Then we have

$$\text{Ass}_R(M/N_0) = \text{Ass}_R((M/pM)/\Gamma_I(M/pM)) = \text{Ass}_R(M/pM) \setminus V(I)$$

.But, since for each $1 \leq j \leq k$ we have $\text{Ann}_R(M/pM) = \mathfrak{p} \subseteq q_j$ and $q_j \neq \mathfrak{p}$, it follows that $\mathfrak{p} \notin V(q_j)$. Therefore

$$\mathfrak{p} \notin \bigcup_{j=1}^k V(q_j) = V(\bigcap_{j=1}^k q_j) = V(I).$$

Therefore

$$\text{Ass}_R(M/N_0) = \text{Ass}_R(M/pM) \setminus V(I) = \{\mathfrak{p}\}.$$

Which results $\text{Ann}_R(M/N_0) \subseteq p$. Therefore, we have $p = (pM :_R M) \subseteq (N_0 :_R M) \subseteq p$ and so $(N_0 :_R M) = p$. Also as

$$\text{Ass}_R(N_0/pM) = \text{Ass}_R(\Gamma_I(M/pM)) = \text{Ass}_R(M/pM) \cap V(I)$$

It follows that $p \notin \text{Supp}(N_0/pM)$ and hence $(N_0/pM)_p = 0$. Now in both cases it follows from Lemma 2.3 that N_0 is a p -prime submodule of M . We shall construct the chain $N_0 \subset \dots \subset N_{n-1}$ of p -prime submodules of M such that $l_{R_p}((N_{i+1}/N_i)_p) = 1$, for

$$l_{R_p}((M/L)_p) = l_{R_p}((M/N_0)_p) - l_{R_p}((L/N_0)_p) = l_{R_p}((M/pM)_p) - [l_{R_p}((L/N_j)_p) + \sum_{i=1}^{j-1} l_{R_p}((N_{i+1}/N_i)_p)] =$$

$$n - (1 + j) = n - j - 1 > 0.$$

Therefore, $(M/L)_p \neq 0$. Now it is easy to see that $(L :_R M) = p$, and so $p \in \text{Ass}_R(M/L)$. Let $N_{j+1} = L$ whenever $\text{Ass}_R(M/L) = \{p\}$. In other case suppose

$$\text{Ass}_R(M/L) \setminus \{p\} = \{q_1', \dots, q_t'\}.$$

Let $J = \bigcap_{i=1}^t q_i'$ and $N_{j+1}/L := \Gamma_J(M/L)$. Then we have

$$\text{Ass}_R(M/N_{j+1}) = \text{Ass}_R((M/L)/\Gamma_J(M/L)) = \text{Ass}_R(M/L) \setminus V(J)$$

But, since for each $1 \leq i \leq t$ we have $\text{Ann}_R(M/L) = p \subseteq q_i'$ and $p \neq q_i'$, it follows that $p \notin V(q_i')$. Therefore,

$$\text{Ass}_R(M/N_{j+1}) = \text{Ass}_R(M/L) \setminus V(J) = \{p\},$$

Which results $\text{Ann}_R(M/N_{j+1}) \subseteq p$. Therefore, we have $p = (L :_R M) \subseteq (N_{j+1} :_R M) \subseteq p$ and so $(N_{j+1} :_R M) = p$. Also as

$$\text{Ass}_R(N_{j+1}/L) = \text{Ass}_R(\Gamma_J(M/L)) = \text{Ass}_R(M/L) \cap V(J),$$

it follows that $p \notin \text{Supp}(N_{j+1}/L)$ and hence $(N_{j+1}/L)_p = 0$. Whence,

$$l_{R_p}((N_{j+1}/N_j)_p) = l_{R_p}((N_{j+1}/L)_p) + l_{R_p}((L/N_j)_p) = 1 + 0 = 1$$

Now in both cases it follows from Lemma 2.3 that N_{j+1} is a p -prime submodule of M such that $l_{R_p}((N_{j+1}/N_j)_p) = 1$. this completes the inductive step in the construction.

(iii) Let $\lambda_p(M) = n$ and $N_0 \subset \dots \subset N_K$ be a saturated maximal chain of p -prime submodules of M . We show that $k = n - 1$. By (i) we have $k \leq n - 1$. Since by assumption this chain is maximal it follows from the proof of (ii) that $l_{R_p}((M/N_k)_p) = 1$. Now suppose the contrary be true. Then the set

$$E := \{N : N \text{ is a } p\text{-prime submodule of } M\},$$

has a unique minimal element $N' := \bigcap_{N \in E} N$ with respect to " \subseteq ". So it follows from hypothesis that $N_0 = N'$. Also using (i) it follows from the proof of (ii) that $(N_0/pM)_p = 0$. Therefore,

$$l_{R_p}((N_k/N_0)_p) = n - 1.$$

Now suppose the contrary be true and $k < n - 1$. Then we deduce that there is $0 \leq j \leq k - 1$, such that $l_{R_p}((N_{j+1}/N_j)_p) \geq 2$. Then there is $x \in N_{j+1} \setminus N_j$. By Lemma 2.3 we have $(N_j + Rx)/N_j \cong R/p$ and so

each $0 \leq i \leq n - 2$, by an inductive process. To do this end, assume $0 \leq j < n - 1$, and that we have already constructed $N_0 \subset N_1 \subset \dots \subset N_j$. We show how to construct N_{j+1} . To do this, since by definition $M \neq N_j$ it follows that there is an element $x \in M \setminus N_j$. Let $L := Rx + N_j$. In view of Lemma 2.3 we have $L/N_j \cong R/p$. In particular we have $l_{R_p}((L/N_j)_p) = 1$. By inductive hypothesis we have

$$l_{R_p}(((N_j + Rx)/N_j))_p = 1. \quad \text{Let } L := N_j + Rx. \quad \text{Since}$$

N_{j+1}/L is the unique minimal element of the set

$$\{N/L : N/L \text{ is a } p\text{-prime submodule of } M/L\},$$

again using (i) it follows from the proof of (ii) that $(N_{j+1}/L)_p = 0$. Thus we have

$$2 \leq l_{R_p}((N_{j+1}/N_j)_p) = l_{R_p}((N_{j+1}/L)_p) + l_{R_p}((L/N_j)_p) = 0 + 1 = 1,$$

which is a contradiction. This completes the proof.

Now we need the following definitions.

Definition 2.5. Let R be a Noetherian ring and M be a finitely generated R -module. For each p -prime submodule N of M we define p -height of N as:

$$p\text{-ht}(N) := \sup\{k \in \mathbb{N}_0 : \exists N_0 \subset \dots \subset N_k = N; \text{ with } N_i \in \text{Spec}_R^p(M), \forall i\}$$

where $\text{Spec}_R^p(M)$ denotes to the set of all p -prime submodules of M as an R -module.

Definition 2.6. Let R be a Noetherian ring and M be a finitely generated R -module. For each p -prime submodule N of M we define height of N as:

$$\text{ht}(N) := \sup\{k \in \mathbb{N}_0 : \exists N_0 \subset \dots \subset N_k = N; \text{ with } N_i \in \text{Spec}_R(M), \forall i\}$$

where $\text{Spec}_R(M)$ denotes to the set of all prime submodules of M as an R -module.

Definition 2.7. Let R be a Noetherian ring and M be a finitely generated R -module.

Then we define $\dim \text{Spec}_R(M)$ as:

$$\dim \text{Spec}_R(M) := \sup\{\text{ht}(N) : N \in \text{Spec}_R(M)\}.$$

The following result is an immediately consequence of Theorem 2.4.

Corollary 2.8. Let R be a Noetherian ring and M be a finitely generated R -module and N be a p -prime submodule of M . Then

$$p\text{-ht}(N) = l_{R_p}((N/pM)_p) = \dim_{R_p/pR_p}(N_p/pM_p).$$

Proof. Let $k := p\text{-ht}(N)$. Then there is saturated chain of p -prime submodules of M as $N_0 \subset \dots \subset N_k = N$. By the proof of Theorem 2.4 this chain can be extended to a maximal saturated chain of p -prime submodules of M as $N_0 \subset \dots \subset N_k = N \subset \dots \subset N_{n-1}$.

Where $\lambda_p(M) = n$. Then by the proof of Theorem 2.4 we have $(N_0/pM)_p = 0$ and $l_{R_p}((N_{i+1}/N_i)_p) = 1$, for each $0 \leq i < n - 2$. Now clearly the assertion holds.

As an application of Theorem 2.4 we prove the following.

Theorem 2.9. Let R be a Noetherian ring and M be a finitely generated R -module and N be a p -prime submodule of M . Then

$$ht(N) \leq (\lambda_p(M))(\dim_{R_p}(M_p)) < \infty.$$

Proof. Let $N_k \subset \dots \subset N_0 = N$ be a chain of prime submodules of M , such that for each $0 \leq i \leq k$, N_i is p_i -prime, where $p_0 = p$. Then it easily follows from definition that

$$p_k \subseteq \dots \subseteq p_0 = p.$$

Therefore, the set $\{p_i\}_{i=0}^k$ has at most $\dim_{R_p}(M_p)$ element.

(Note that $p_i \in \text{Supp}(M)$, for all $0 \leq i \leq k$). Let

$$\{p_i\}_{i=0}^k = \{q_0 = p, \dots, q_t\},$$

where $t \leq \dim_{R_p}(M_p)$ and $p = q_0 \supset \dots \supset q_t$. Let

$A_j := \text{Spec}_R^{q_j}(M) \cap \{N_i\}_{i=0}^k$, for each $0 \leq j \leq t$. Then by Theorem 2.4 the set A_j has at most $\lambda_{q_j}(M)$ element.

But $\lambda_{q_j}(M) \leq \lambda_p(M)$, because $q_j \subseteq p$. Therefore as

$$\bigcup_{j=1}^t A_j = \{N_i\}_{i=0}^k,$$

it follows that $k \leq t \lambda_p(M) \leq (\dim_{R_p}(M_p)) \lambda_p(M)$. Which implies that

$$ht(N) \leq (\lambda_p(M))(\dim_{R_p}(M_p)) < \infty,$$

as required.

3. Prime avoidance Theorem

The results of this section which will be useful in the next section improve some well known results given in [8].

Proposition 3.1. Let R be ring and M be a non-zero R -module and N be a submodule of M . Let p_1, \dots, p_n be distinct prime ideals of R . Let for each $1 \leq i \leq n$, N_i be a p_i -prime submodule of M . If $N \subseteq \bigcup_{i=1}^n N_i$, then $N \subseteq N_j$ for some $1 \leq j \leq n$.

Proof. We do induction on n . The case $n = 2$ is easy. Now let $n \geq 3$ and the case $n - 1$ is settled. By definition for each $1 \leq i \leq n$ we have $p_i = (N_i :_R M)$. From the hypothesis $N \subseteq \bigcup_{i=1}^n N_i$ it follows that $N = \bigcup_{i=1}^n (N_i \cap N)$. Now let the contrary be true. Then $N \not\subseteq N_i$ and hence $(N_i \cap N) \neq N$, for any $1 \leq i \leq n$. Also from the inductive hypothesis it follows that $N \neq \bigcup_{i \in \{1, \dots, n\} \setminus \{k\}} (N_i \cap N)$ for each $1 \leq k \leq n$ and so $(N_k \cap N) \not\subseteq \bigcup_{i \in \{1, \dots, n\} \setminus \{k\}} (N_i \cap N)$. Let q be a minimal element of the set $\{p_1, \dots, p_n\}$ with respect to " \subseteq ". Then $p_i \not\subseteq q$ for each $p_i \in (\{p_1, \dots, p_n\} \setminus \{q\})$. Without loss of generality we may assume that $q = p_n$. Let $J_i := (N_i :_R N)$, for all $i = 1, \dots, n$. Then from the definition it follows that $p_i \subseteq J_i$, for all $i = 1, \dots, n$. On the other hand for each $x \in N$ and $r \in R$, if $rx \in (N_i \cap N)$ and $x \notin (N_i \cap N)$, then $rx \in N_i$ and $x \notin N_i$. Therefore it follows from the definition that $r \in p_i$. So $rM \subseteq N_i$, and consequently, $rN \subseteq (N_i \cap N)$. As $(N_i \cap N) \neq N$ it follows that there exists an element $y \in (N \setminus (N_i \cap N))$. Now for each $s \in J_i$ we have $sy \in (N_i \cap N) \subseteq N_i$ and $y \notin N_i$. So it follows from the definition that $s \in p_i$. Therefore, $(N_i :_R N) = J_i = p_i = (N_i :_R M)$. But it is easy to see

that $(N_i :_R N) = ((N_i \cap N) :_R N)$. Thus for each $1 \leq i \leq n$,

$N_i \cap N$ is p_i -prime submodule of N . Therefore without loss of generality we may assume that $N = M = \bigcup_{i=1}^n N_i$ and $N_n \not\subseteq \bigcup_{i=1}^{n-1} N_i$. Next let $T := \bigcap_{i=1}^n N_i$. Then it is not to see that for each $1 \leq i \leq n$, N_i/T is p_i -prime submodule of M/T and $M/T = \bigcup_{i=1}^n N_i/T$. Therefore, without loss of generality we may assume $M = \bigcup_{i=1}^n N_i$ and $\bigcap_{i=1}^n N_i = 0$ and $N_n \not\subseteq \bigcup_{i=1}^{n-1} N_i$. Then there is an exact sequence $0 \rightarrow M \rightarrow \bigoplus_{i=1}^n M/N_i$, which implies that $\bigcap_{i=1}^n p_i = \text{Ann}_R(\bigoplus_{i=1}^n M/N_i) \subseteq \text{Ann}_R(M)$. On the other hand for each $1 \leq i \leq n$ we have $\text{Ann}_R(M) \subseteq (N_i :_R M) = p_i$. So $\text{Ann}_R(M) \subseteq \bigcap_{i=1}^n p_i$. Hence $\text{Ann}_R(M) = \bigcap_{i=1}^n p_i$. Now if we have $\bigcap_{i=1}^{n-1} N_i = 0$, then there is an exact sequence $0 \rightarrow M \rightarrow \bigoplus_{i=1}^{n-1} M/N_i$ which implies that $\bigcap_{i=1}^{n-1} p_i = \text{Ann}_R(\bigoplus_{i=1}^{n-1} M/N_i) \subseteq \text{Ann}_R(M) = \bigcap_{i=1}^n p_i \subseteq p_n$. So $p_t \subseteq p_n$, for some $1 \leq t \leq n-1$, which is a contradiction. So $\bigcap_{i=1}^{n-1} N_i \neq 0$. Then there is an element $0 \neq a \in \bigcap_{i=1}^{n-1} N_i$. As $\bigcap_{i=1}^n N_i = 0$, it follows that $a \notin N_n$. On the other hand since $N_n \not\subseteq \bigcup_{i=1}^{n-1} N_i$, it follows that there is an element $b \in N_n$ such that $b \notin \bigcup_{i=1}^{n-1} N_i$. Now as $a + b \in \bigcup_{i=1}^n N_i$, it follows that $a + b \in N_k$ for some $1 \leq k \leq n$, which is a contradiction. This completes the inductive step.

Remark: Proposition 3.1 does not hold in general. For example let $p \geq 2$ be a prime number and $2 \leq n \in \mathbb{N}$. Let $R = \mathbb{Z}_p = \{\bar{0}, \bar{1}, \dots, \overline{p-1}\}$ and $M = \bigoplus_{i=1}^n \mathbb{Z}_p$. Let $\mathfrak{A} = \{N : N = Rx, \text{ for some } 0 \neq x \in M\}$.

Then \mathfrak{A} is a finite set that has at most 2^{p^n} element and for each $N \in \mathfrak{A}$, N is a $\{\bar{0}\}$ -prime submodules of M such that $M \subseteq \bigcup_{N \in \mathfrak{A}} N$. But $M \not\subseteq N$ for any $N \in \mathfrak{A}$.

The following proposition is a generalization of [12, Ex. 16.8].

Proposition 3.2. Let R be a ring, M a non-zero R -module, N a submodule of M and $x \in M$. Let p_1, \dots, p_n be distinct prime ideals of R . Let for each $1 \leq i \leq n$, N_i be a p_i -prime submodule of M . If $N + Rx \not\subseteq \bigcup_{i=1}^n N_i$, then there exists $a \in N$ such that $a + x \notin \bigcup_{i=1}^n N_i$.

Proof. We use induction on n . Let $n = 1$. If $x \in N_1$ then $N \subseteq N_1$. So there is $a \in N \setminus N_1$ and it is easy to see that $a + x \notin N_1$. But if $x \notin N_1$, then by choosing $a = 0 \in N$ the assertion holds. Now suppose $n \geq 2$ and the case $n - 1$ is settled. Let q be a minimal element of the set $\{p_1, \dots, p_n\}$ with respect to " \subseteq ". Then $p_i \not\subseteq q$ for each $p_i \in (\{p_1, \dots, p_n\} \setminus \{q\})$. Without loss of generality we may assume that $q = p_n$. Then it is easy to see that $\bigcap_{i=1}^{n-1} p_i \not\subseteq p_n$. By inductive hypothesis there is an element $b \in N$ such that $b + x \notin \bigcup_{i=1}^{n-1} N_i$. So the assertion hold for $a = b$, whenever $b + x \notin N_n$. So we may assume $b + x \in N_n$. Then we claim that $N \not\subseteq N_n$. Because, if $N \subseteq N_n$ then $x \in N_n$ and so $N + Rx \subseteq N_n \subseteq \bigcup_{i=1}^n N_i$.

which is a contradiction. Therefore, there exists an element $c \in N \setminus N_n$. As $\bigcap_{i=1}^{n-1} p_i \not\subseteq p_n$ it follows that there exists an element $r \in (\bigcap_{i=1}^{n-1} p_i) \setminus p_n$. Then it easily follows from the definition of the p_n -prime submodule that $rc \in N_n$. Moreover, since $r \in \bigcap_{i=1}^{n-1} p_i$ it follows from the definition that $rc \in \bigcap_{i=1}^{n-1} N_i$. Now it is easy to see that $rc + b + x \in \bigcup_{i=1}^{n-1} N_i$. Therefore, the assertion hold for $a := rc + b \in N$. This completes the induction step.

Remark: Proposition 3.2 does not hold in general. For example let $p \geq 2$ be a prime number and $R = \mathbb{Z}_p = \{\bar{0}, \bar{1}, \dots, \overline{p-1}\}$ and $M = \mathbb{Z}_p \oplus \mathbb{Z}_p$. Let $N = (\bar{1}, \bar{0})\mathbb{Z}_p$, $x = (\bar{0}, \bar{1})$ and $N_i = (\bar{i}, \bar{1})\mathbb{Z}_p$, for $i = 0, \dots, p-1$. Then N_i is $\{\bar{0}\}$ -prime submodule of the R -module M , for all $i = 0, \dots, p-1$. Also as $(\bar{1}, \bar{0}) \in N + Rx$ and $(\bar{1}, \bar{0}) \notin \bigcup_{i=0}^{p-1} N_i$, it follows that $N + Rx \not\subseteq \bigcup_{i=0}^{p-1} N_i$. But for any $a \in N$ we have $a + x \in \bigcup_{i=0}^{p-1} N_i$.

Now we give an other aspects of prime avoidance Theorem in different states.

Proposition 3.3. Let R be a ring, M a non-zero R -module, N a submodule of M and $k \in \mathbb{N}$. Let for each $1 \leq i \leq k$, $n_i \in \mathbb{N}$ and for $1 \leq i \leq k$ and $1 \leq j \leq n_i$, the ideals $p_{i,j}$ be distinct elements of $\text{Spec}(R)$. Let for each $1 \leq i \leq k$ and $1 \leq j \leq n_i$, $N_{i,j}$ be a $p_{i,j}$ -prime submodule of M . Let for each $1 \leq i \leq k$, $N_i = \bigcap_{j=1}^{n_i} N_{i,j}$. If $N \subseteq \bigcup_{i=1}^k N_i$, then $N \subseteq N_t$ for some $1 \leq t \leq k$.

Proof. Let the contrary be true. Then for each $1 \leq i \leq k$ we have $N \not\subseteq N_i$. Therefore there exists $1 \leq s_i \leq n_i$ such that $N \not\subseteq N_{i,s_i}$. But in this situation we have

$$N \subseteq \bigcup_{i=1}^k N_i \subseteq \bigcup_{i=1}^k N_{i,s_i}.$$

Consequently, it follows from proposition 3.1 that there is $1 \leq l \leq k$, such that $N \subseteq N_{l,s_l}$, which is a contradiction.

Proposition 3.4. Let R be a ring, M a non-zero R -module, N a submodule of M , $x \in M$ and $k \in \mathbb{N}$. Let for each $1 \leq i \leq k$, $n_i \in \mathbb{N}$ and for $1 \leq i \leq k$ and $1 \leq j \leq n_i$, the ideals $p_{i,j}$ be distinct elements of $\text{Spec}(R)$. Let for each $1 \leq i \leq k$ and $1 \leq j \leq n_i$, $N_{i,j}$ be a $p_{i,j}$ -prime submodule of M . Let for each $1 \leq i \leq k$, $N_i = \bigcap_{j=1}^{n_i} N_{i,j}$. If $N + Rx \not\subseteq \bigcup_{i=1}^k N_i$ then there exists $a \in N$ such that $a + x \in \bigcup_{i=1}^k N_i$.

Proof. For each $1 \leq i \leq k$ we have $N + Rx \not\subseteq N_i$. Therefore there exists $1 \leq s_i \leq n_i$ such that $N + Rx \not\subseteq N_{i,s_i}$. But in this situation using proposition 3.1 we have

$$N + Rx \not\subseteq \bigcup_{i=1}^k N_{i,s_i}.$$

Consequently, it follows from proposition 3.2 that there is $a \in N$, such that $a + x \in \bigcup_{i=1}^k N_{i,s_i}$. But since $\bigcup_{i=1}^k N_i \subseteq \bigcup_{i=1}^k N_{i,s_i}$, it follows that $a + x \in \bigcup_{i=1}^k N_i$, as required.

Proposition 3.5. Let R be a ring, I an ideal of R and $x \in R$. Let J_1, \dots, J_n ($n \geq 1$) be ideals of R such that for each $1 \leq i \leq n$ we have $\text{Rad}(J_i) = J_i$. If $I + Rx \not\subseteq \bigcup_{i=1}^n J_i$,

then there exists an element $a \in I$ such that $a + x \in \bigcup_{i=1}^n J_i$.

Proof. For each $1 \leq i \leq n$ we have $I + Rx \not\subseteq J_i$. Therefore for each $1 \leq i \leq n$, since $J_i = \bigcap_{q \in V(J_i)} q$ it follows that there exists $p_i \in V(J_i)$ such that $I + Rx \not\subseteq p_i$. But in this situation we have $I + Rx \not\subseteq \bigcup_{i=1}^n p_i$. Consequently, it follows from [12, Ex. 16.8] that there is $a \in I$, such that $a + x \in \bigcup_{i=1}^n p_i$. But since $\bigcup_{i=1}^n J_i \subseteq \bigcup_{i=1}^n p_i$, it follows that $a + x \in \bigcup_{i=1}^n J_i$, as required.

Before bringing the next result we need the following well known lemma.

Lemma 3.6. Let (R, m) be a commutative local ring such that R/m is infinite. Let M be an R -module and N_1, \dots, N_t be submodules of M such that $M = \bigcup_{i=1}^t N_i$. Then there exists $1 \leq j \leq t$, $M = N_j$.

Proof. The assertion follows using NAK Lemma.

Proposition 3.7. Let R be a commutative ring, M be an R -module and N_1, \dots, N_t be submodules of M such that $M = \bigcup_{i=1}^t N_i$. Then $\bigcap_{i=1}^t \text{Supp } M/N_i \subseteq \text{Max}(R)$.

Proof. Suppose the contrary be true. Then there exists $p \in (\bigcap_{i=1}^t \text{Supp } M/N_i) \setminus \text{Max}(R)$. So R/p is an integral domain but not a field and therefore R_p/pR_p is infinite. By hypothesis and Proposition 3.6 there exists $1 \leq j \leq t$ such that $(M/N_j)_p = 0$ and so $p \notin \text{Supp } M/N_j$ which is a contradiction.

Corollary 3.8. Let R be a commutative ring and $p \in \text{Spec}(R) \setminus \text{Max}(R)$. Let M be an R -module and N_1, \dots, N_t be p -prime submodules of M and N a submodule of M such that $N \subseteq \bigcup_{i=1}^t N_i$. Then there exists $1 \leq j \leq t$ such that $N \subseteq N_j$.

Proof. Let for any $1 \leq j \leq t$, $N \not\subseteq N_j$. Then for all $1 \leq j \leq t$, we have $N \cap N_j \neq N$. Since $pM \subseteq N_j$, it follows that $pN \subseteq N_j$ and so $pN \subseteq N \cap N_j$. Hence $p \subseteq (N \cap N_j : N)$. On the other hand there exists $x \in N \setminus N \cap N_j$ and so $x \notin N_j$. Let $r \in (N_i \cap N : N)$. Then $rx \in N_i \cap N \subseteq N_i$ and $x \notin N_i$, so $r \in (N_i : M) = p$. Consequently $(N_i \cap N : N) \subseteq p$ and so $(N_i \cap N : N) = p$. Now it is easy to show that $N_i \cap N$ is a p -prime submodule of N . Since $N \subseteq \bigcup_{i=1}^t N_i$ it follows that $N = \bigcup_{i=1}^t (N \cap N_i)$. But in this case $p \in \bigcap_{i=1}^t \text{Supp } (N/N_i \cap N)$. Since $p \in \text{Spec}(R) \setminus \text{Max}(R)$ this is impossible by Proposition 3.7.

Proposition 3.9. Let R be a commutative ring and $p \in \text{Spec}(R)$ such that R/p infinite. Let M be an R -module and N_1, \dots, N_t be p -prime submodules of M and N a submodule of M such that $N \subseteq \bigcup_{i=1}^t N_i$. Then there exists $1 \leq j \leq t$ such that $N \subseteq N_j$.

Proof. If $p \in \text{Max}(R)$, the assertion follows from Corollary 3.8. So let $p \in \text{Spec}(R)$ and for all $1 \leq i \leq t$, we have $N \not\subseteq N_i$. Hence for any $1 \leq j \leq t$, there exists $x_j \in N \setminus N_j$. Set $N' = (x_1, \dots, x_t) \subseteq N$ and so we have $N'/pN' = \bigcup_{i=1}^t ((N' \cap N_i) + pN')/pN'$. Since R/p is

infinite, there exists $1 \leq j \leq t$ such that $N'/pN' = ((N' \cap N_j) + pN')/pN'$. This implies that $N' = (N' \cap N_j) + pN' \subseteq pM + N_j = N_j$. Hence $N' \subseteq N_j$ which is a contradiction.

Proposition 3.10 Let R be a commutative ring and $p \in \text{Spec}(R)$ such that R/p infinite. Let M be an R -module and N_1, \dots, N_t be p -prime submodules of M and N a submodule of M . Let $x \in M$ such that $N + Rx \not\subseteq \bigcup_{i=1}^t N_i$. Then there exists $a \in N$ such that $a + x \in \bigcup_{i=1}^t N_i$.

Proof. It is certainly true for $t = 1$. Let $t > 1$ and the result has been proved for $t-1$. If $N \subseteq \bigcup_{i=1}^t N_i$ then by Proposition 3.9 there exists $1 \leq j \leq t$, such that $N \subseteq N_j$. Without loss of generality we may assume that $j = t$. By induction hypothesis there exists $b \in N$ such that $b + x \in \bigcup_{i=1}^{t-1} N_i$. Since $b + x \in N_t$ it follows that $b + x \in \bigcup_{i=1}^t N_i$ and so the assertion follows. Now suppose that $N \not\subseteq \bigcup_{i=1}^t N_i$, then there exists $c \in N \setminus \bigcup_{i=1}^t N_i$. In this case if $x \in \bigcup_{i=1}^t N_i$ we set $a = 0$ and if $x \in \bigcup_{i=1}^t N_i$ then we set $a = c$. Now suppose that the above conditions are not true. We may assume that there exists $1 \leq k \leq t-1$ such that $x \in \bigcap_{i=1}^k N_i$ and $x \in \bigcup_{i=k+1}^t N_i$. Since R/p is infinite, so there exist $t-k+1$ non-zero distinct elements in R/p such as $s_1 + p, \dots, s_{t-k+1} + p$.

Set $A = \{s_i c + x \mid i = 1, \dots, t-k+1\}$. If there exists an element $s_i c + x$ in A such that $s_i c + x \in \bigcup_{i=1}^t N_i$ then the proof is complete. Otherwise, for each $1 \leq l \leq t-k+1$, there is $1 \leq j \leq t$ such that $s_l c + x \in N_j$. If $1 \leq j \leq k$ then $s_l \in p$ and so $s_l + p = p$ which is a contradiction. So $k+1 \leq j \leq t$ and hence $A \subseteq \bigcup_{i=k+1}^t N_i$. Whence, according to the Dirichlet drawer principle, there exists $k+1 \leq j \leq t$ and $1 \leq l_1 < l_2 \leq t-k+1$ such that $s_{l_1} c + x$ and $s_{l_2} c + x$ belong to N_j . Therefore $s_{l_1} + p = s_{l_2} + p$ which is a contradiction.

4. Minimal prime submodules

The following lemma is needed in the proof of the first main result of this section. Note that in the sequel for any submodule B of an R -module M , the set of all minimal prime submodules of M over B is denoted by $\text{Min}(B)$. Moreover, we denote $\text{Min}(0)$ by $\text{Min}(M)$. Also, $V(B)$ is defined as follows:

$$V(B) = \{N \in \text{Spec}_R(M) : N \supseteq B\}.$$

Lemma 4.1. Let R be a commutative ring and $p, q \in \text{Spec}(R)$. Let M be an R -module and $N_1, N_2 \in \text{Min } M$ be respectively p -prime and q -prime submodules. Then $N_1 \neq N_2$ if and only if $p \neq q$.

Proof. If $p \neq q$ then obviously $N_1 \neq N_2$. Conversely, Let $N_1 \neq N_2$ but $p = q$. Since $L_1 = \bigcap_{L \in \text{Spec}_R^p(M)} L$ and $L_2 = \bigcap_{L \in \text{Spec}_R^q(M)} L$ it follows that $L_1 = L_2$ which is a contradiction.

Definition 4.2. Let M be an R -module and B be a submodule of M . Set

$$D(B) := \{N \in \text{Min}(B) : N \text{ is not finitely generated } R\text{-module}\}$$

The minimal prime submodules of an R -module M has been studied in [16], for example see [16, Theorem 2.1]. In the next theorem we present a new conditions that an R -module M has only a finite number of minimal prime submodules, whenever R is a Noetherian ring, which is a generalization of [2, Theorem 2.1].

Theorem 4.3. Let R be a Noetherian ring, M be an R -module and B be a submodule of M . Then the following statements are equivalent:

- (1) $\text{Min}(B)$ is finite.
- (2) For every $\mathfrak{P} \in \text{Min}(B)$ there exists a finitely generated submodule $K_{\mathfrak{P}}$ of \mathfrak{P} such that $|V(K_{\mathfrak{P}}) \cap \text{Min}(B)| < \infty$.
- (3) For every $\mathfrak{P} \in \text{Min}(B)$ there exists a finitely generated submodule $N_{\mathfrak{P}}$ of \mathfrak{P} such that $V(N_{\mathfrak{P}}) \cap \text{Min}(B) = \{\mathfrak{P}\}$.
- (4) For every $\mathfrak{P} \in \text{Min}(B)$, $\mathfrak{P} \not\subseteq \bigcup_{L \in \text{Min}(B) \setminus \{\mathfrak{P}\}} L$.
- (5) For every $\mathfrak{P} \in \text{Min}(B)$ there exists an element $x_{\mathfrak{P}} \in \mathfrak{P}$ of \mathfrak{P} such that $V(Rx_{\mathfrak{P}}) \cap \text{Min}(B) = \{\mathfrak{P}\}$.
- (6) For every $\mathfrak{P} \in D(B)$, $\mathfrak{P} \not\subseteq \bigcup_{L \in \text{Min}(B) \setminus \{\mathfrak{P}\}} L$.
- (7) For every $\mathfrak{P} \in D(B)$ there exists an element $x_{\mathfrak{P}} \in \mathfrak{P}$ of \mathfrak{P} such that $V(Rx_{\mathfrak{P}}) \cap \text{Min}(B) = \{\mathfrak{P}\}$.
- (8) For every $\mathfrak{P} \in D(B)$ there exists a finitely generated submodule $K_{\mathfrak{P}}$ of \mathfrak{P} such that $|V(K_{\mathfrak{P}}) \cap \text{Min}(B)| < \infty$.
- (9) For every $\mathfrak{P} \in D(B)$ there exists a finitely generated submodule $N_{\mathfrak{P}}$ of \mathfrak{P} such that $V(N_{\mathfrak{P}}) \cap \text{Min}(B) = \{\mathfrak{P}\}$.

Proof. Without loss of generality, we may assume that $B = 0$, $\text{Spec}_R(M) \neq \emptyset$ and consequently $\text{Min}(M) \neq \emptyset$.

(1) \Rightarrow (2) Since $\text{Min}(M)$ is finite, by Lemma 4.1 and Proposition 3.1, for every $\mathfrak{P} \in \text{Min}(M)$, $\mathfrak{P} \not\subseteq \bigcup_{L \in \text{Min}(M) \setminus \{\mathfrak{P}\}} L$ and there exists $x \in \mathfrak{P} \setminus \bigcup_{L \in \text{Min}(M) \setminus \{\mathfrak{P}\}} L$. Set $K_{\mathfrak{P}} = Rx$. Then $K_{\mathfrak{P}}$ is finitely generated and set $V(K_{\mathfrak{P}}) \cap \text{Min}(B) = \{\mathfrak{P}\}$ is finite.

(2) \Rightarrow (3) Let $\mathfrak{P} \in \text{Min}(M)$ and $V(K_{\mathfrak{P}}) \cap \text{Min}(M) = \{\mathfrak{P}, \mathfrak{P}_2, \dots, \mathfrak{P}_n\}$. Using Lemma 4.1 and Proposition 3.1 we can find an element $x \in \mathfrak{P} \setminus \bigcup_{i=2}^n \mathfrak{P}_i$. Let $N_{\mathfrak{P}} := K_{\mathfrak{P}} + Rx$. Then $N_{\mathfrak{P}}$ is finitely generated and $V(N_{\mathfrak{P}}) \cap \text{Min}(M) = \{\mathfrak{P}\}$.

(3) \Rightarrow (1) Suppose the contrary be true. Then the set $\text{Min}(M)$ is infinite. Let

$$A := \{p \in \text{Spec}(R) : \text{Spec}_R^p(M) \cap \text{Min}(M) \neq \emptyset\}$$

$$E := \{N \leq M : N \text{ is finitely generated and } V(N) \cap \text{Min}(M) \neq \emptyset \text{ is a finite set.}\}$$

$$F := \{L \leq M : \forall N \in E, N \not\subseteq L\}$$

We show that there exists a maximal element K of F such that $(K :_R M)$ is a prime ideal. Since $\text{Min}(M)$ is infinite, so the zero submodule of M belong to the F and therefore by Zorn's Lemma F has a maximal element. Let L be a maximal element of F . If $(L :_R M)$ be a prime ideal, we are through. If not, then it is clear that $(L :_R M) \neq R$. Let $q_1 \in \text{Ass}_R(R/(L :_R M))$. By the definition there exists

$r \in R \setminus (L :_R M)$ such that $q_1 = ((L :_R M) : r)$ and therefore $q_1 r M \subseteq L$. Since $r \in (L :_R M)$, it follows that there exists an element $x \in M$ such that $rx \notin L$. Now there exists $N \in E$ such that $N \subseteq L + Rrx$. In particular, $q_1 N \subseteq L + q_1 rx \subseteq L + q_1 r M \subseteq L$.

Since $q_1 N$ is finitely generated, so $|V(q_1 N) \cap \text{Min}(M)| = \infty$. But in this case for all $\mathfrak{P} \in (V(q_1 N) \cap \text{Min}(M) \setminus V(N) \cap \text{Min}(M))$, we have $q_1 N \subseteq \mathfrak{P}$ and $N \not\subseteq \mathfrak{P}$. Now if \mathfrak{P} be a p-Prime submodule, then $q_1 \subseteq \mathfrak{p}$ and so $|V(q_1) \cap A| = \infty$. Hence $|V(q_1 N) \cap \text{Min}(M)| = \infty$. So for all $N \in E$, we have $N \subseteq q_1 M$ and therefore $q_1 M \in F$. Let $U := \{q \in V(q_1) : qM \in F\}$.

Since R is Noetherian it follows that U has a maximal element, say q_2 . $q_2 M \subseteq H$, for some maximal element H of F . We claim that $(H :_R M)$ is a prime ideal of R . If not, according to the above argument, there exists $q_3 \in \text{Ass}_R(R/(H :_R M))$ such that $q_3 M \in F$ and $q_2 \subseteq (H :_R M) \subseteq q_3$. By choosing of q_2 , we must have $q_2 = q_3$, which is a contradiction. Therefore $(H :_R M) = q_2$ is a prime ideal. Now we show that H is a q_2 -prime submodule. Otherwise there exist $x \in M \setminus H$ and $r \in R \setminus q_2$, such that $rx \in H$. So $r \in Z_R(M/H) = \bigcup_{q \in \text{Ass}_R(M/H)} q$ and hence there exists $q' \in \text{Ass}_R(M/H)$ such that $r \in q'$. Consequently, $q_2 \subset q'$. On the other hand by definition $q' = (H :_R y)$ for some $y \in M \setminus H$. Since $H \subset H + Ry$, it follows that there exists $N \in E$ such that $N \subseteq H + Ry$ and so $q' N \subseteq H$. According to the above argument, $|V(q' M) \cap \text{Min}(M)| = \infty$ which implies $q' M \in F$. Finally, we have $q_2 = (H :_R M) \subset q'$, which is a contradiction with the choosing of q_2 . Therefore H is a q_2 -prime submodule of M . Whence, H contains a minimal prime submodule of M such as \mathfrak{P} . By assumption there exists a submodule $N_{\mathfrak{P}}$ of \mathfrak{P} such that $N_{\mathfrak{P}} \subseteq \mathfrak{P} \subseteq H$ and $N_{\mathfrak{P}} \in E$, which is a contradiction. Therefore, $\text{Min}(M)$ is a finite set.

Now the proof of $(1) \Leftrightarrow (2) \Leftrightarrow (3)$ is complete.

$(1) \Rightarrow (4)$ Follows from Lemma 4.1 and Proposition 3.1.

$(4) \Rightarrow (1) \Leftrightarrow (5)$ Since $(5) \Leftrightarrow (4) \Rightarrow (3)$ is clear so we have $(1) \Leftrightarrow (4) \Leftrightarrow (5)$.

Now we have the following:

$(1) \Leftrightarrow (2) \Leftrightarrow (3) \Leftrightarrow (4) \Leftrightarrow (5)$.

$(4) \Rightarrow (6)$ Is clear.

$(6) \Rightarrow (3)$ Since for every $\mathfrak{P} \in D(0)$, $\mathfrak{P} \subseteq \bigcup_{L \in \text{Min}(M) \setminus \{\mathfrak{P}\}} L$, it follows that there exists $x_{\mathfrak{P}} \in \mathfrak{P}$ such that $V(Rx_{\mathfrak{P}}) \cap \text{Min}(B) = \{\mathfrak{P}\}$. On the other hand for all $\mathfrak{P} \in (\text{Min}(M) \setminus D(0))$, we have $V(\mathfrak{P}) \cap \text{Min}(B) = \{\mathfrak{P}\}$, where \mathfrak{P} is finitely generated. So the assertion follows.

$(6) \Leftrightarrow (7)$ and $(1) \Rightarrow (8), (9)$ are clear.

$(8), (9) \Rightarrow (3)$ Follow by a similar arguments as in $(6) \Rightarrow (3)$.

The following results follow from Theorem 4.3.

Corollary 4.4. Let R be a Noetherian ring, M an R -module and B be a proper submodule of M . Then $\text{Min}(B)$ is infinite

if and only if there exists $\mathfrak{P} \in D(B)$ such that $\mathfrak{P} \subseteq \bigcup_{L \in (\text{Min}(M) \setminus \{\mathfrak{P}\})} L$.

Proof. Follows immediately from Theorem 4.3.

Corollary 4.5. Let R be a Noetherian ring, M an R -module and B be a proper submodule of M such that any minimal prime submodule over B is finitely generated. Then $\text{Min}(B)$ is finite.

Proof. Follows immediately from Theorem 4.3.

Definition 4.6. Let R be a Noetherian ring, $M \neq 0$ a finitely generated R -module and N be a proper submodule of M . Then the radical of N is defined as:

$$\text{Rad}(N) = \bigcap_{L \in \text{Min } N} L.$$

Before bringing the next definition, recall that for any ideal I of a Noetherian ring, the arithmetic rank of I , denoted by $\text{ara}(I)$, is the least number of elements of I required to generate an ideal which has the same radical as I , i.e.,

$$\text{ara}(I) := \min\{n \in \mathbb{N}_0 : \exists x_1, \dots, x_n \in I \text{ with } \text{Rad}((x_1, \dots, x_n)) = \text{Rad}(I)\}$$

Definition 4.7. Let R be a Noetherian ring, $M \neq 0$ a finitely generated R -module and N be a proper submodule of M .

We define the arithmetic rank of N , as:

$$\text{ara}(N) := \min\{n \in \mathbb{N}_0 : \exists x_1, \dots, x_n \in N \text{ with } \text{Rad}((x_1, \dots, x_n)) = \text{Rad}(N)\}$$

The next theorem is a generalization of [14, Theorem 2.7].

Theorem 4.8. Let R be a Noetherian ring, $M \neq 0$ a finitely generated R -module and N be a proper submodule of M .

Then $\text{ara}(N) \leq \dim \text{Spec}_R(M) + 1$.

Proof. Let $d := \dim \text{Spec}_R(M)$. We may assume that d is finite. Now, suppose, to the contrary, that $\text{ara}(N) > d + 1$. Let $n := \text{ara}(N)$. Since $n > d + 1 \geq 1$ it follows from the definition that there exist elements x_1, \dots, x_n in N such that $\text{Rad}(N) = \text{Rad}((x_1, \dots, x_n))$. As $n > 0$ it follows that $\text{Min}(0) \setminus V(N) \neq \emptyset$. Therefore it follows from Lemma 4.1 and proposition 3.1 that $N \not\subseteq \bigcup_{L \in \text{Min}(0) \setminus V(N)} L$.

Therefore $(x_1, \dots, x_n) \not\subseteq \bigcup_{L \in \text{Min}(0) \setminus V(N)} L$, and so by Proposition 3.2 there is $a_1 \in (x_2, \dots, x_n)$ such that

$$x_1 + a_1 \notin \bigcup_{L \in \text{Min}(0) \setminus V(N)} L.$$

Let $y_1 := x_1 + a_1$. Then $y_1 \in N$ and $\text{Rad}(N) = \text{Rad}((y_1, x_2, \dots, x_n))$. We shall construct the sequence

$$y_1, \dots, y_{n-1} \in N \quad \text{such that} \quad \text{Rad}(N) = \text{Rad}((y_1, \dots, y_{n-1}, x_n))$$

and $y_j \in \bigcup_{L \in \text{Min}((y_1, \dots, y_{j-1})) \setminus V(N)} L$, for each $1 \leq j \leq n-1$, by an inductive process. To do this end, assume that $1 \leq k < n-1$, and that we have already constructed elements y_1, \dots, y_k such that

$$\text{Rad}(N) = \text{Rad}((y_1, \dots, y_k, x_{k+1}, \dots, x_n)).$$

We show how to construct y_{k+1} . To do this, as $k < n-1$ it follows that

$$\text{Min}(y_1, \dots, y_k) \setminus V(N) \neq \emptyset.$$

Therefore it follows from Lemma 4.1 and proposition 3.1 that

$$N \not\subseteq \bigcup_{L \in \text{Min}(y_1, \dots, y_k) \setminus V(N)} L.$$

Therefore

$$(y_1, \dots, y_k, x_{k+1}, \dots, x_n) \not\subseteq \bigcup_{L \in \text{Min}(y_1, \dots, y_k) \setminus V(N)} L, \text{ and so}$$

by Proposition 3.2 there is $a_{k+1} \in (y_1, \dots, y_k, x_{k+2}, \dots, x_n)$ such that

$$x_{k+1} + a_{k+1} \in \bigcup_{L \in \text{Min}(y_1, \dots, y_k) \setminus V(N)} L.$$

Let $y_{k+1} := x_{k+1} + a_{k+1}$. Then $y_{k+1} \in N$ and $\text{Rad}(N) = \text{Rad}((y_1, \dots, y_k, y_{k+1}, x_{k+2}, \dots, x_n))$. This completes the inductive step in the construction. Now it is easy to see that $\text{Min}(y_1, \dots, y_{n-1}) \setminus V(N) \neq \emptyset$. Also using an induction argument we can deduce that for any $1 \leq j \leq n-1$ and any $L \in \text{Min}(y_1, \dots, y_j) \setminus V(N)$ we have $ht(L) \geq j$. Consequently, since there exists a prime submodule L of M in which $L \in \text{Min}(y_1, \dots, y_{n-1}) \setminus V(N)$ it follows that $n-1 \leq ht(L) \leq \dim \text{Spec}_R(M) = d$. Which implies that $n \leq d+1$, as required.

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